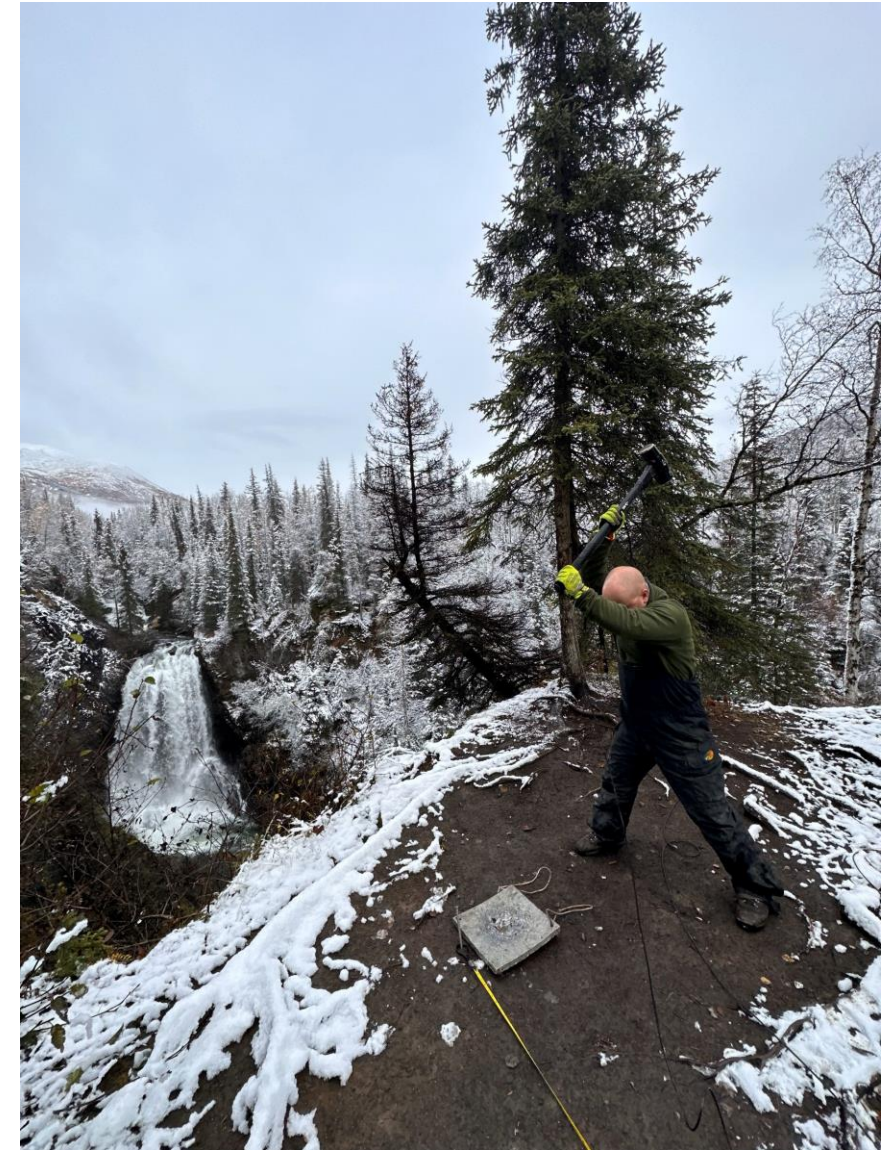


# Geotechnical and Geophysics Is There a Connection?

David J. Barrick, P.E. and Curtis A. Link, Ph.D.

# Problem Statement – Difficult Access Areas With Geotechnical Drill Rig



# Research Flow

## • Geophysical Inputs

- SRT-Vp
- MASW-Vs
- ERT-Resistivity
- IP-Chargeability



## • Geotechnical Outputs

- Lithology Classification
- Moist Unit Weight-pcf





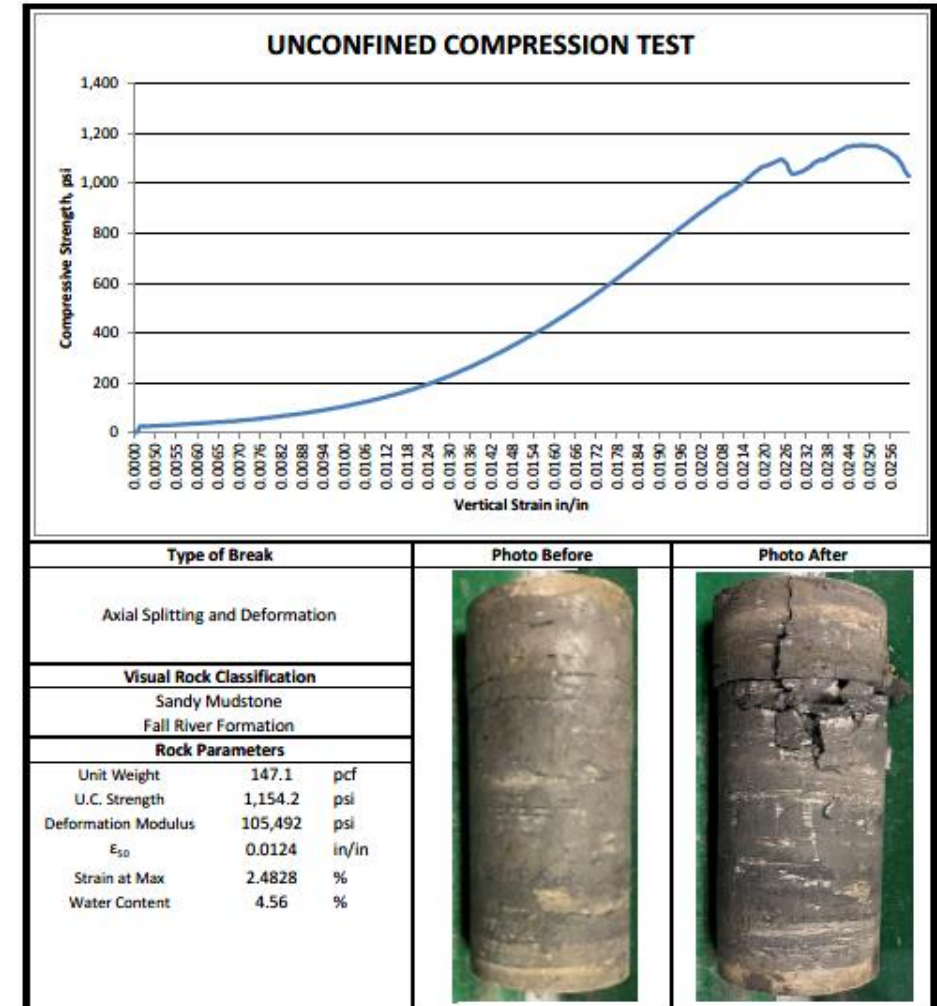
# Research Methodology

- Drill geotechnical boreholes for consulting clients.



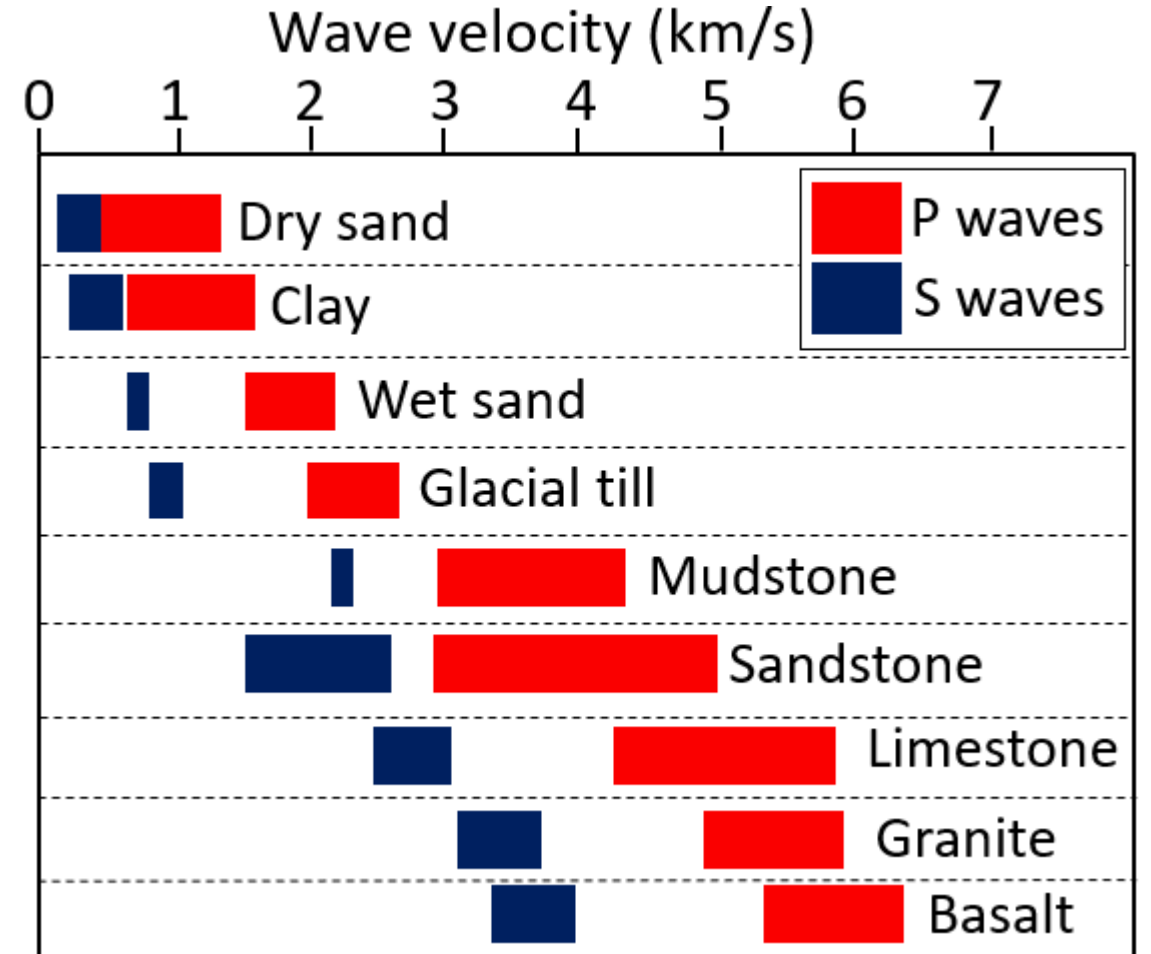
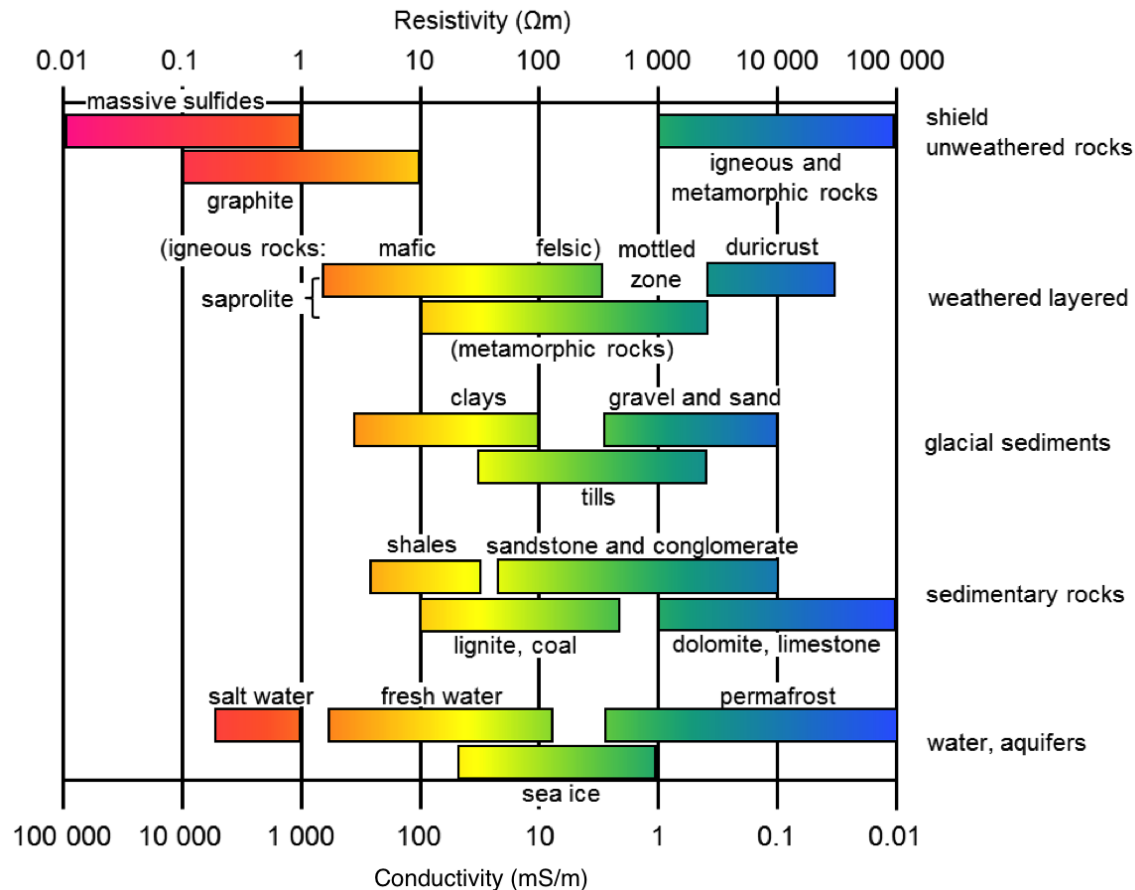
# Research Methodology

- Perform Laboratory Testing on Soil and Rock samples.
  - Unconfined Compressive Strength
  - Moist Unit Weight
  - Unified Soil Classifications
    - Atterberg Limits
    - Gradation (sieve analysis)



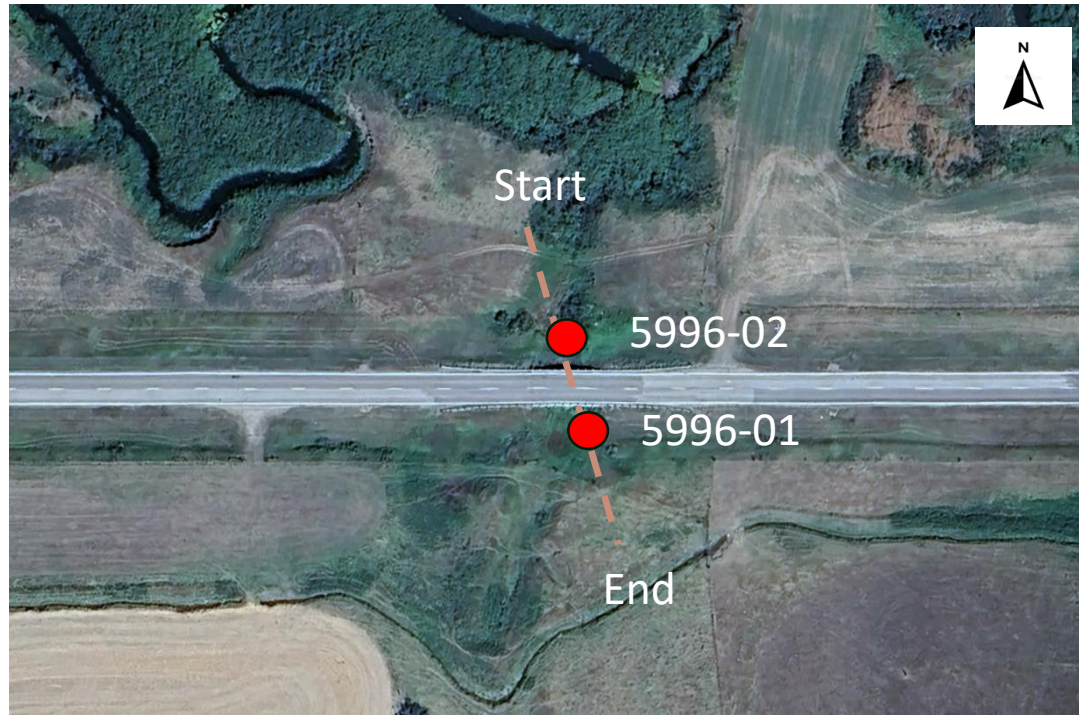


# Research Methodology



# Research Methodology

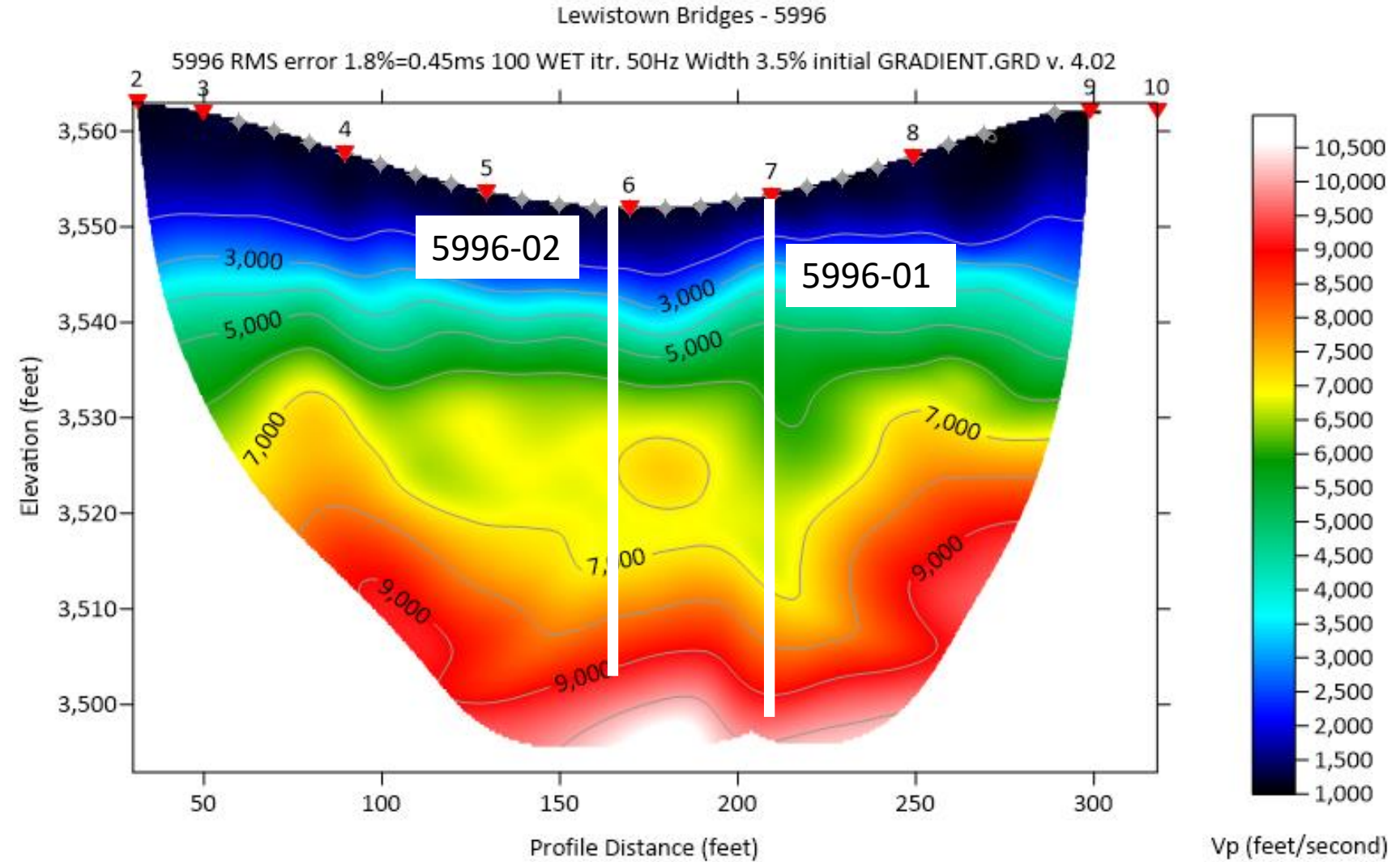
- Perform near-surface geophysics and match the 2D geophysical profiles to the station of the boreholes and depth of in-situ and laboratory samples.



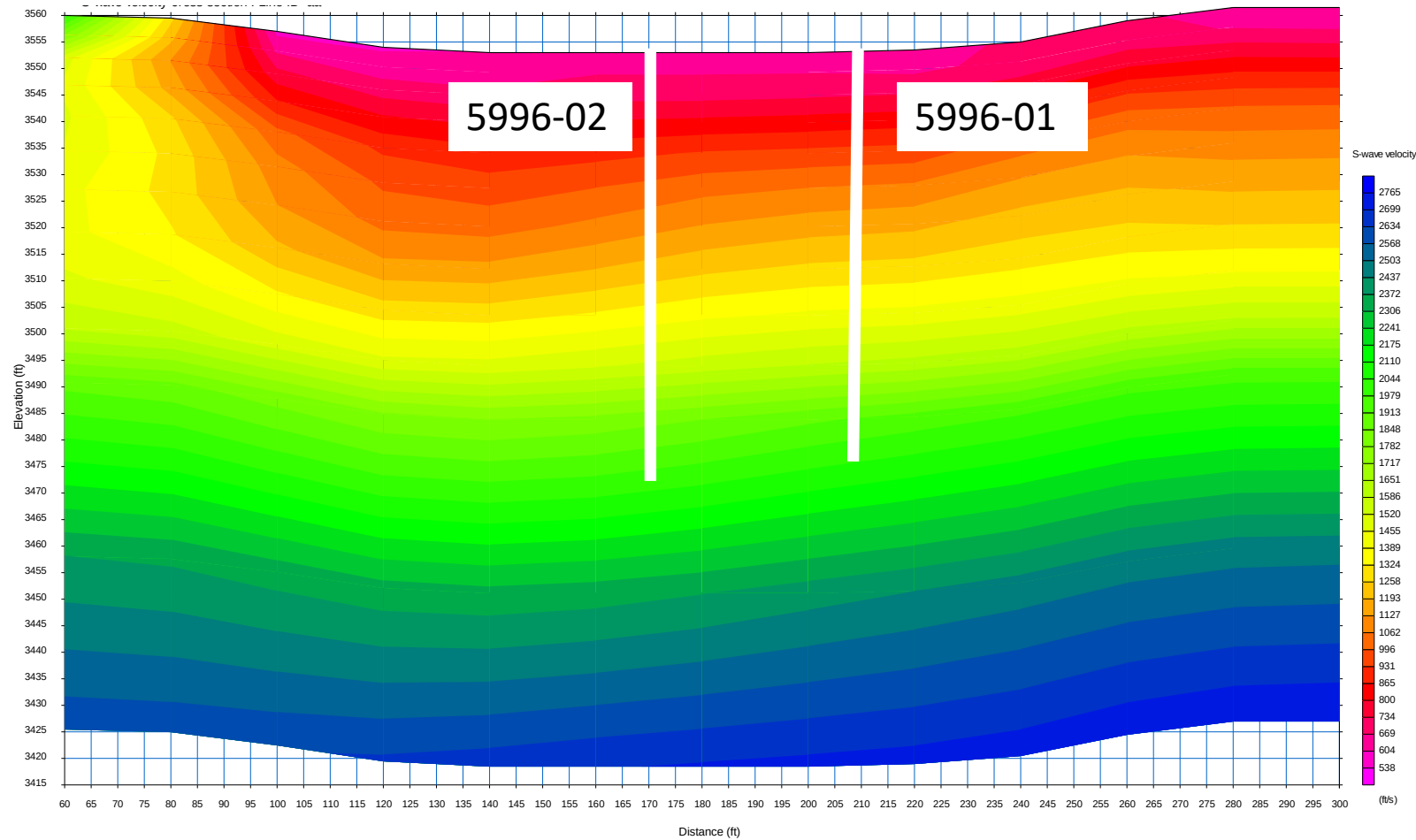


# Research Methodology

## Seismic Refraction Tomography – Rayfract®

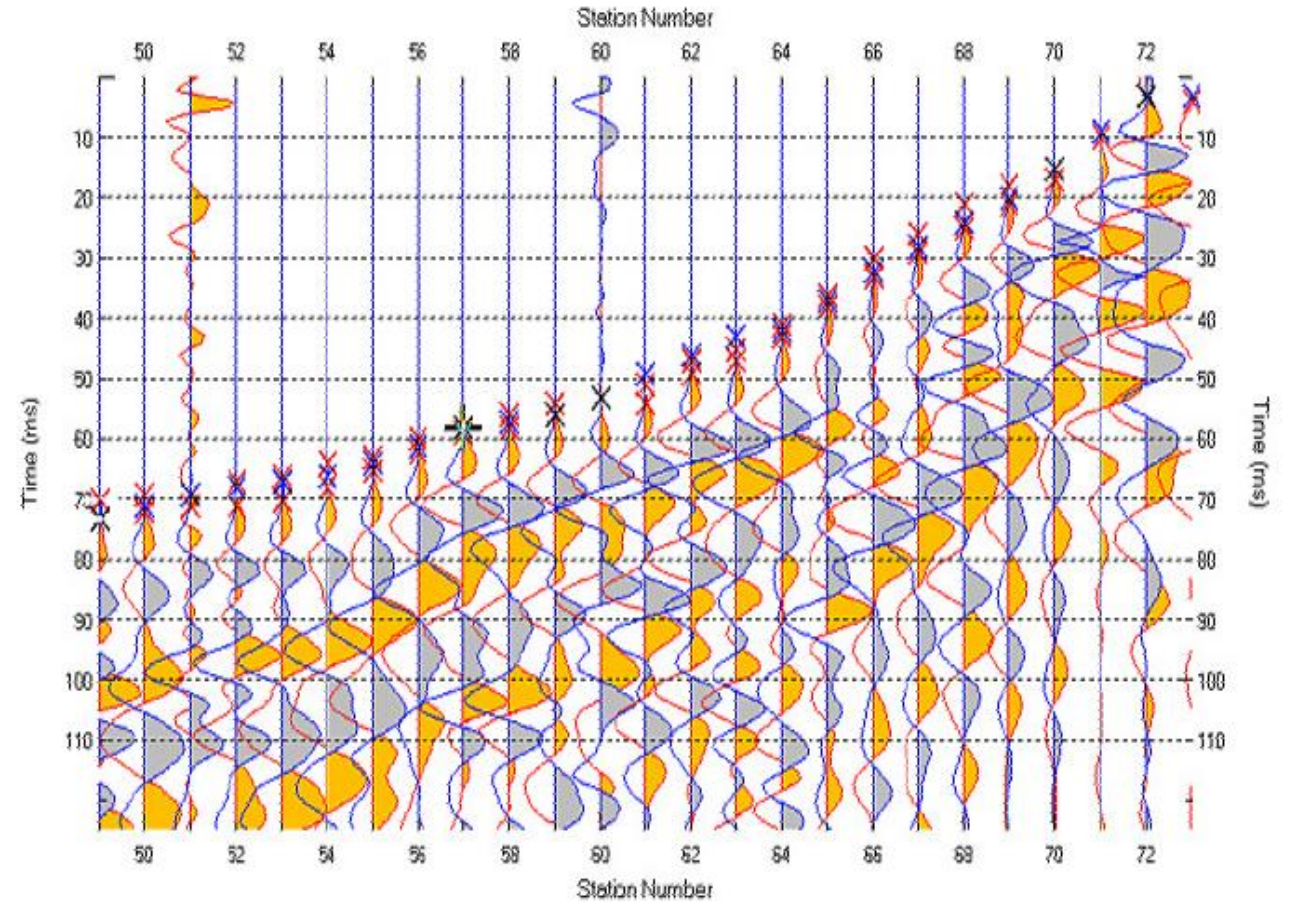






# Research Methodology

Shear Wave Refraction – Shallow Bedrock and non-dispersive soils.



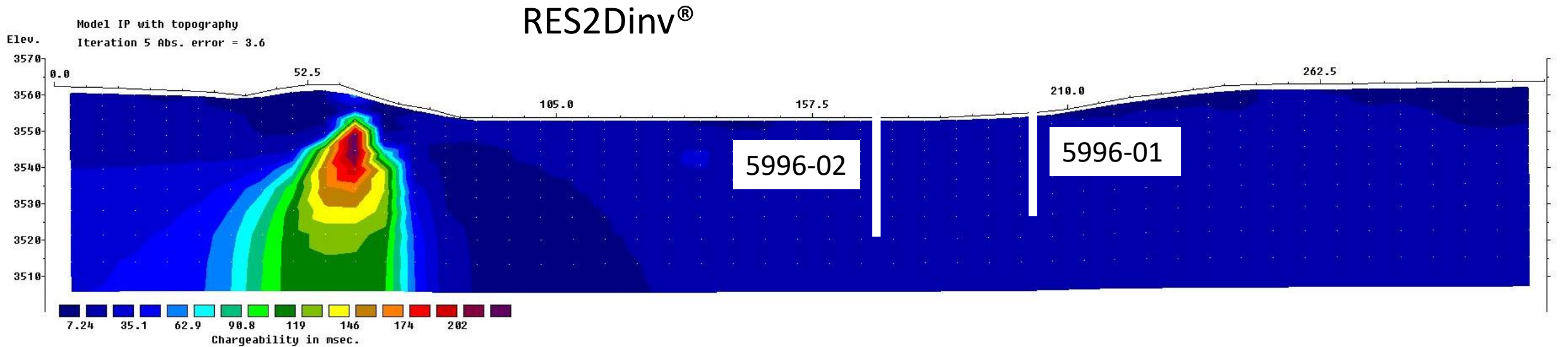
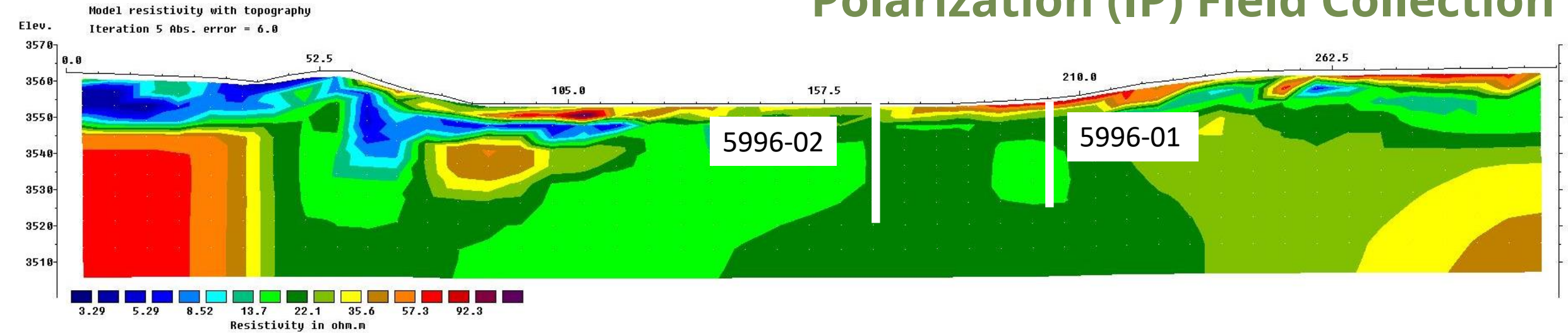




- ABEM Terrameter LS2 for Electrical Resistivity and IP





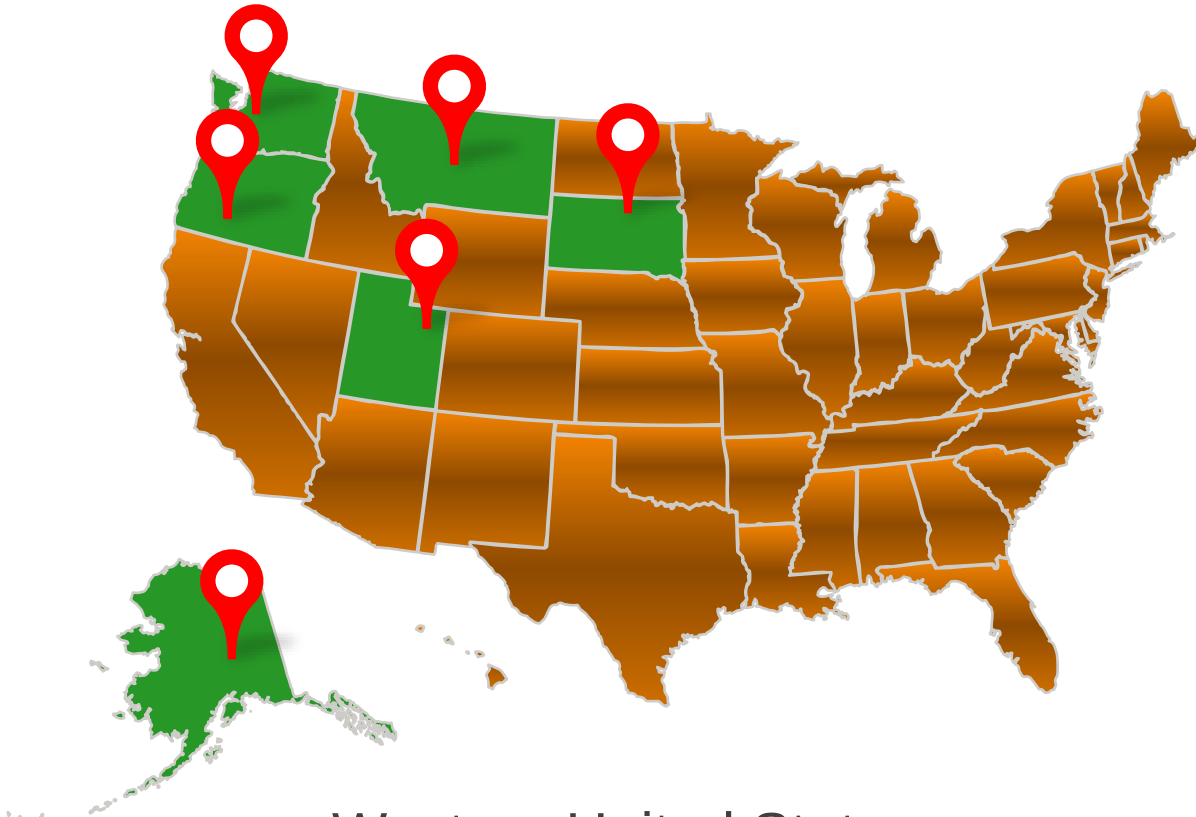




# Research Methodology

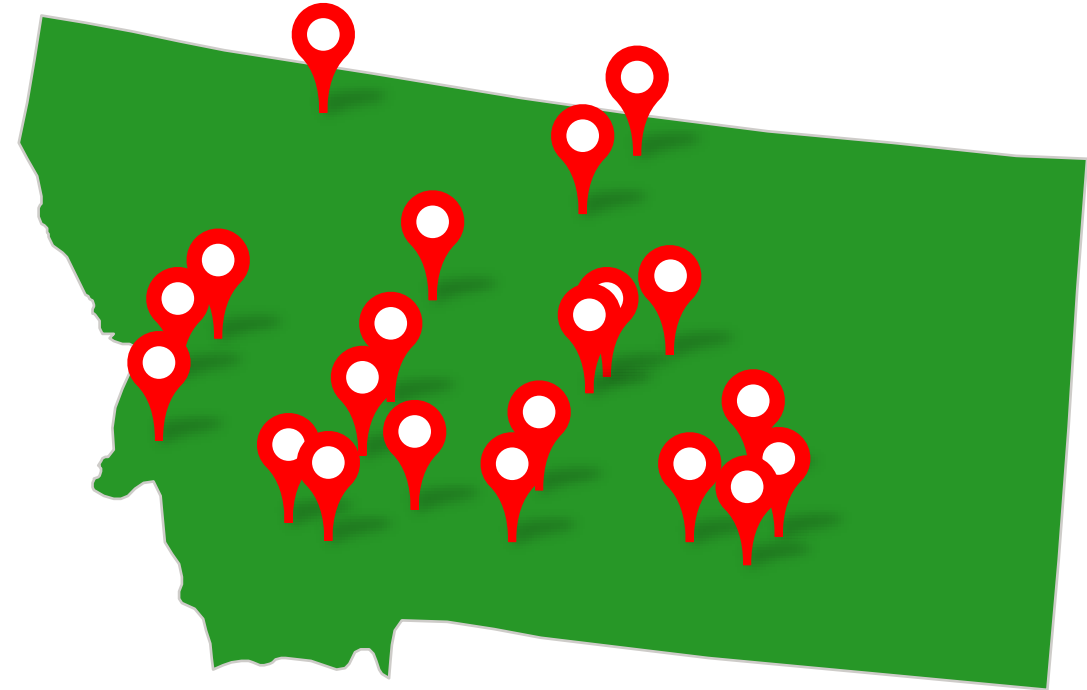
- Build a data set matching compression and shear seismic velocities, electrical resistivity, and induced polarization chargeability values to geotechnical parameters.

| Bridge | Borehole | Sample Depth (feet) | Sample Type | Water Content (%) | Moist Unit Weight (pcf) | Static Modulus (psi) | UCS (psi) | Vp (ft/s) | Vs (ft/s) | ERT(ohm -m) | IP (msec) |
|--------|----------|---------------------|-------------|-------------------|-------------------------|----------------------|-----------|-----------|-----------|-------------|-----------|
| 5991   | 5991-01  | 14.25               | HQ          | 4.6               | 147.1                   | 105,494              | 1,154.2   | 6,508     | 2,162     | 50.3        | 11.0      |
|        |          | 15.75               | HQ          | 7.4               | 146.0                   | 4,487                | 132.7     | 7,054     | 2,064     | 52.3        | 10.9      |
|        |          | 25.25               | HQ          | 6.4               | 150.4                   | 52,802               | 725.2     | 9,044     | 2,246     | 66.2        | 12.0      |
|        | 5991-02  | 10.75               | HQ          | 8.3               | 144.0                   | 9,610                | 199.1     | 4,958     | 1,719     | 43.4        | 13.5      |
|        |          | 16.25               | HQ          | 7.3               | 147.4                   | 6,055                | 178.7     | 7,160     | 1,879     | 46.4        | 13.9      |
|        |          | 19.25               | HQ          | 6.4               | 148.8                   | 87,454               | 1,190.7   | 7,557     | 1,879     | 51.1        | 14.2      |
| 5992   | 5992-01  | 8.50                | Shelby      | 24.9              | 123.5                   | 237                  | 6.2       | 2,536     | 1,656     | 29.3        | 7.9       |
|        |          | 18.20               | HQ          | 7.0               | 148.2                   | 25,233               | 449.7     | 6,219     | 1,995     | 60.7        | 9.4       |
|        |          | 22.20               | HQ          | 5.5               | 151.2                   | 80,985               | 1,118.9   | 7,262     | 1,995     | 61.0        | 10.6      |
|        |          | 27.25               | HQ          | 6.4               | 150.0                   | 115,402              | 1,080.7   | 7,867     | 2,624     | 60.6        | 12.0      |
|        | 5992-02  | 21.15               | HQ          | 7.3               | 147.6                   | 16,302               | 331.9     | 5,813     | 1,352     | 60.3        | 14.4      |
|        |          | 26.75               | HQ          | 5.9               | 149.4                   | 18,408               | 381.8     | 7,614     | 1,893     | 60.5        | 14.3      |
|        |          | 30.60               | HQ          | 6.3               | 150.1                   | 55,133               | 676.3     | 8,455     | 2,210     | 60.3        | 14.3      |



Western United States

Six American States



Montana

20 Locations

126 Geotechnical Boreholes To Date – From 2021 to Present

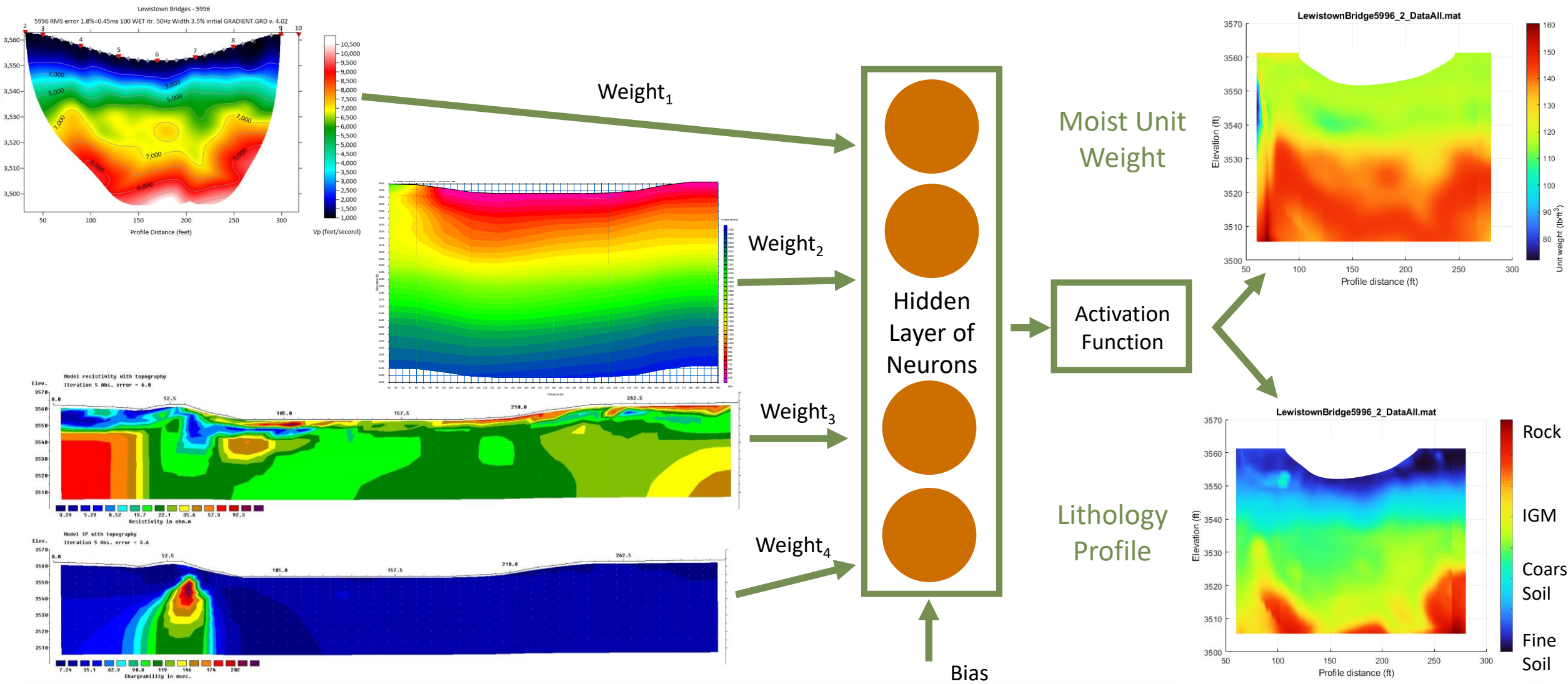




# Correlate the Data Using Artificial Neural Networks – Supervised Learning

## ANN Geophysical Inputs

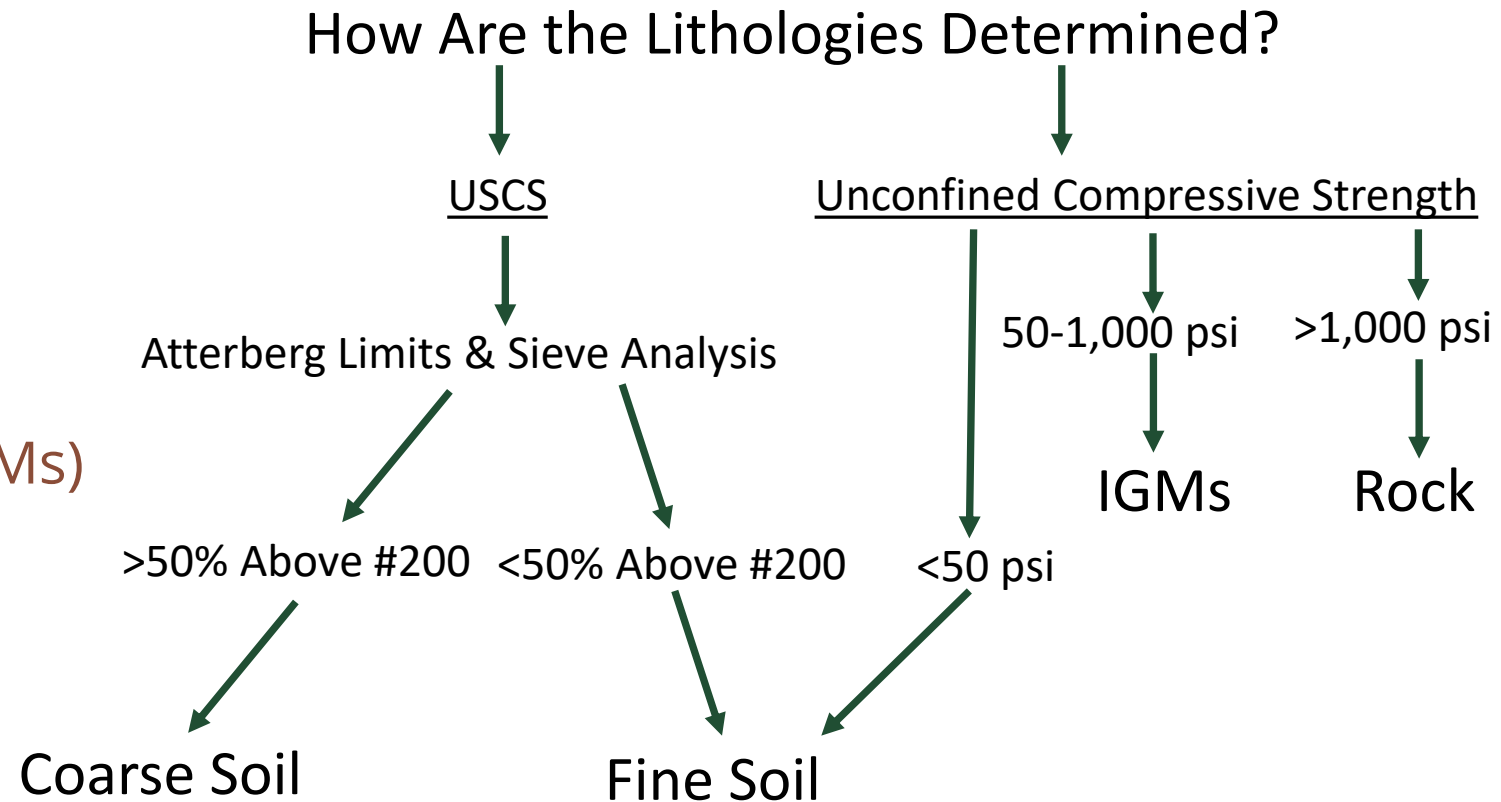
## Geotechnical Outputs



# Lithology Prediction

- **Four Lithology Types:**

- Fine Soil
  - Silts and Clays
- Coarse Soil
  - Sands and Gravels
- Intermediate Geomaterials (IGMs)
- Rock





# Lithology Prediction

All Data – 359 Classifications - 70% for Training and 30% for Testing

| Training Confusion Matrix (Accuracy:77.38%) |             |                 |           |      |      |
|---------------------------------------------|-------------|-----------------|-----------|------|------|
| True Class                                  | Coarse Soil | Fine Soil       | IGM       | Rock |      |
|                                             | 71          | 17              | 5         | 2    |      |
|                                             | 8           | 65              | 6         | 4    |      |
|                                             | 1           | 2               | 26        | 7    |      |
|                                             | 1           |                 | 4         | 33   |      |
|                                             |             | Coarse Soil     | Fine Soil | IGM  | Rock |
|                                             |             | Predicted Class |           |      |      |

| Testing Confusion Matrix (Accuracy:74.77%) |             |                 |           |      |      |
|--------------------------------------------|-------------|-----------------|-----------|------|------|
| True Class                                 | Coarse Soil | Fine Soil       | IGM       | Rock |      |
|                                            | 36          | 7               |           |      |      |
|                                            | 8           | 26              | 3         | 1    |      |
|                                            | 2           | 1               | 6         | 2    |      |
|                                            |             |                 | 3         | 12   |      |
|                                            |             | Coarse Soil     | Fine Soil | IGM  | Rock |
|                                            |             | Predicted Class |           |      |      |



# Lithology Prediction

## Below Groundwater Table – 135 Classifications - 70% for Training and 30% for Testing

### Training Confusion Matrix (Accuracy:75.79%)

|             |             |           |     |      |
|-------------|-------------|-----------|-----|------|
| Coarse Soil | 40          |           | 3   |      |
| Fine Soil   | 10          | 8         | 3   |      |
| IGM         | 2           | 1         | 14  | 2    |
| Rock        |             | 2         |     | 10   |
|             | Coarse Soil | Fine Soil | IGM | Rock |

### Testing Confusion Matrix (Accuracy:70.00%)

|             |             |           |     |      |
|-------------|-------------|-----------|-----|------|
| Coarse Soil | 19          | 1         |     |      |
| Fine Soil   | 6           | 3         |     |      |
| IGM         |             |           | 3   | 2    |
| Rock        | 1           | 1         | 1   | 3    |
|             | Coarse Soil | Fine Soil | IGM | Rock |



# Lithology Prediction

Above Groundwater Table – 224 Classifications - 70% for Training and 30% for Testing

Training Confusion Matrix (Accuracy:82.17%)

|            |             |             |           |     |      |
|------------|-------------|-------------|-----------|-----|------|
| True Class | Coarse Soil | 42          | 13        | 2   |      |
|            | Fine Soil   | 7           | 56        |     |      |
|            | IGM         | 2           | 2         | 12  | 1    |
|            | Rock        |             |           | 1   | 19   |
|            |             | Coarse Soil | Fine Soil | IGM | Rock |

Testing Confusion Matrix (Accuracy:82.09%)

|            |             |             |           |     |      |
|------------|-------------|-------------|-----------|-----|------|
| True Class | Coarse Soil | 15          | 3         |     |      |
|            | Fine Soil   | 2           | 23        | 3   |      |
|            | IGM         |             |           | 5   | 1    |
|            | Rock        | 1           |           | 2   | 12   |
|            |             | Coarse Soil | Fine Soil | IGM | Rock |





# Lithology Prediction

All Data– 359 Classifications

“Dirty” Sand and Gravel – More than 12% Fines Reduces Predictability

Training Confusion Matrix (Accuracy:77.38%)

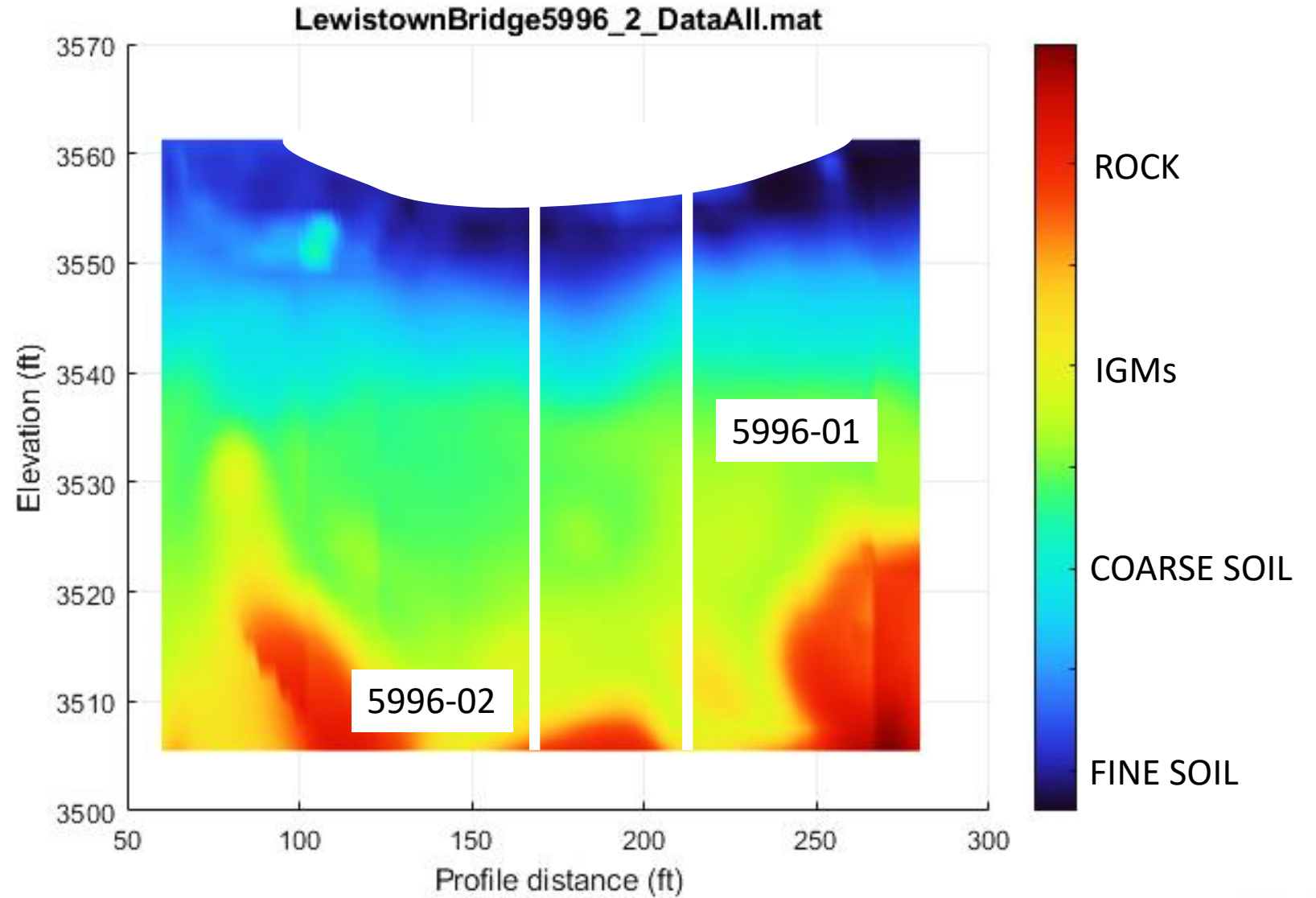
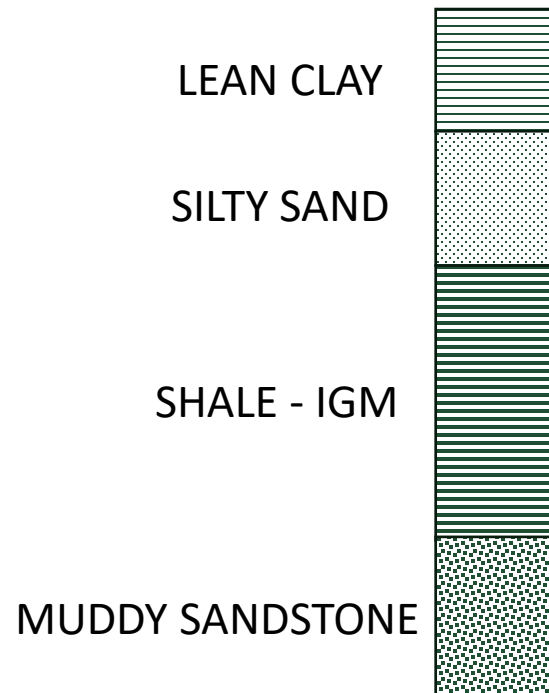
|            |                   |                 |                   |           |     |      |
|------------|-------------------|-----------------|-------------------|-----------|-----|------|
| True Class | Coarse Soil       | 31              | 6                 | 4         |     |      |
|            | Dirty Coarse Soil | 2               | 31                | 19        | 2   | 1    |
|            | Fine Soil         |                 | 3                 | 73        | 1   | 5    |
|            | IGM               |                 | 1                 | 2         | 23  | 7    |
|            | Rock              |                 |                   |           | 4   | 37   |
|            |                   | Coarse Soil     | Dirty Coarse Soil | Fine Soil | IGM | Rock |
|            |                   | Predicted Class |                   |           |     |      |

Testing Confusion Matrix (Accuracy:72.90%)

|            |                   |                 |                   |           |     |      |
|------------|-------------------|-----------------|-------------------|-----------|-----|------|
| True Class | Coarse Soil       | 16              | 5                 |           |     | 1    |
|            | Dirty Coarse Soil | 1               | 11                | 7         | 1   |      |
|            | Fine Soil         |                 | 2                 | 33        | 4   |      |
|            | IGM               |                 |                   | 2         | 8   | 4    |
|            | Rock              |                 | 1                 |           | 1   | 10   |
|            |                   | Coarse Soil     | Dirty Coarse Soil | Fine Soil | IGM | Rock |
|            |                   | Predicted Class |                   |           |     |      |



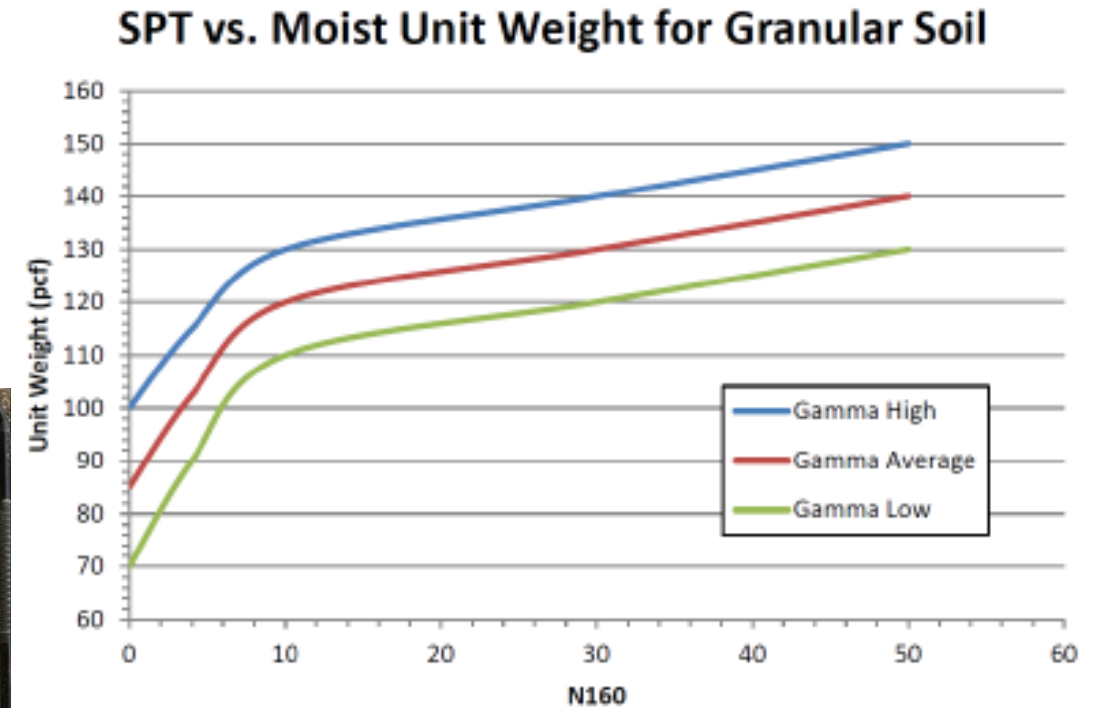
### LITHOLOGY FROM BOREHOLE LOGS



# Moist Unit Weight Prediction

- 263 Samples

- Moist unit weights determined from:
  - Shelby tube samples – fine soil
  - Rock core samples
  - Correlations of SPT for granular soil



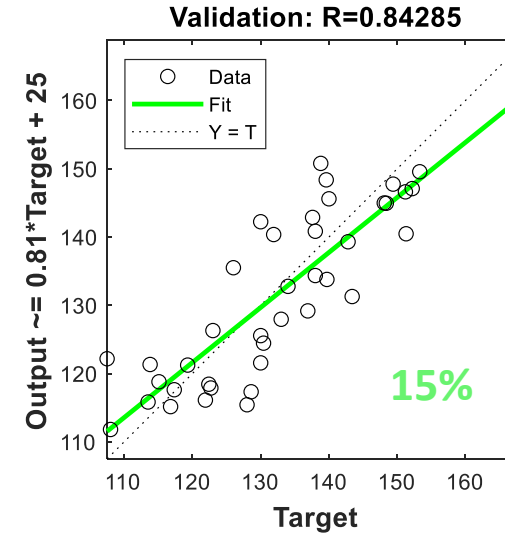
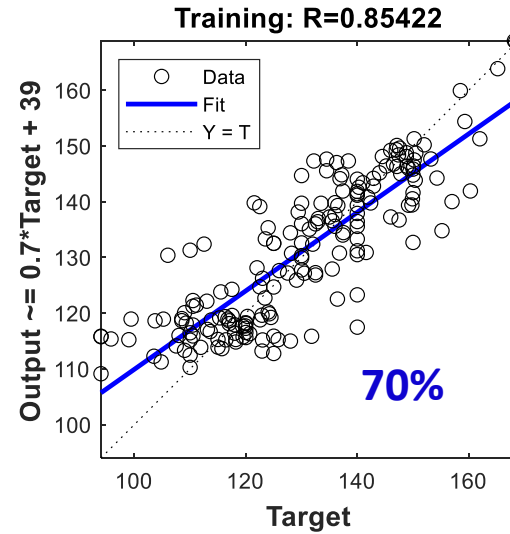
Source: Bowles, 1977





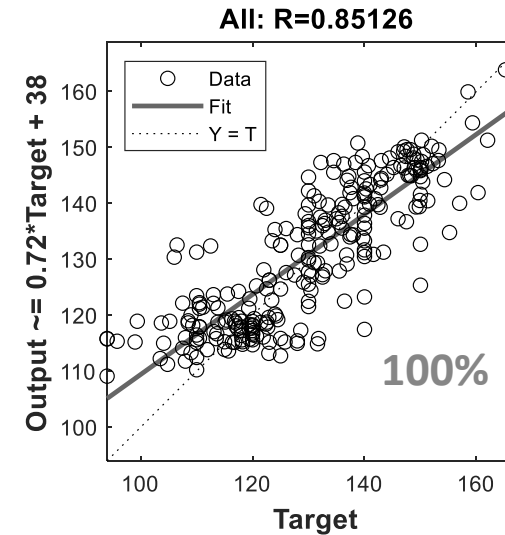
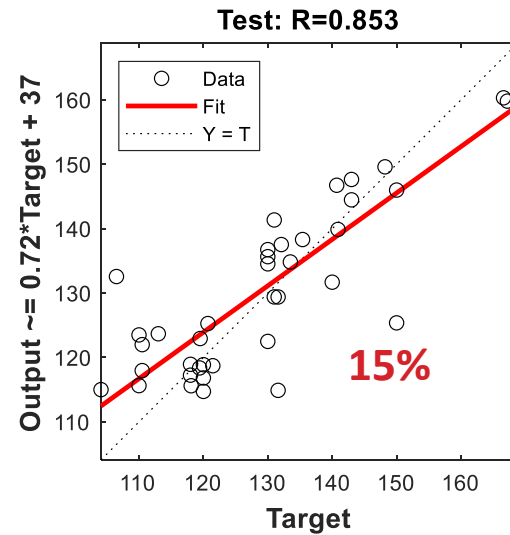
# Moist Unit Weight Prediction

- 70% of original sample chosen randomly



- 15% used during training to determine if training is converging

- 15% used after training is completed to see if networks generalizes

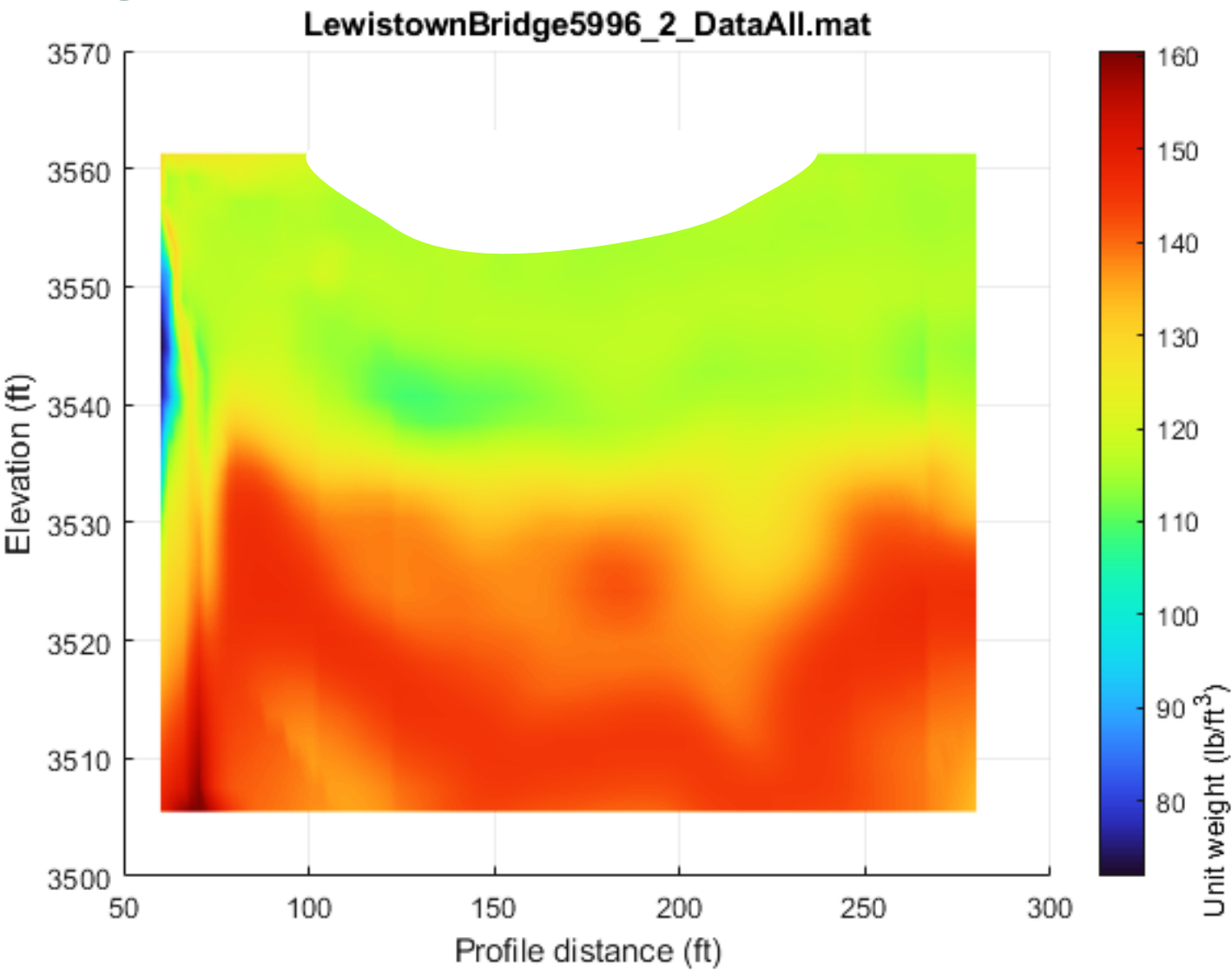
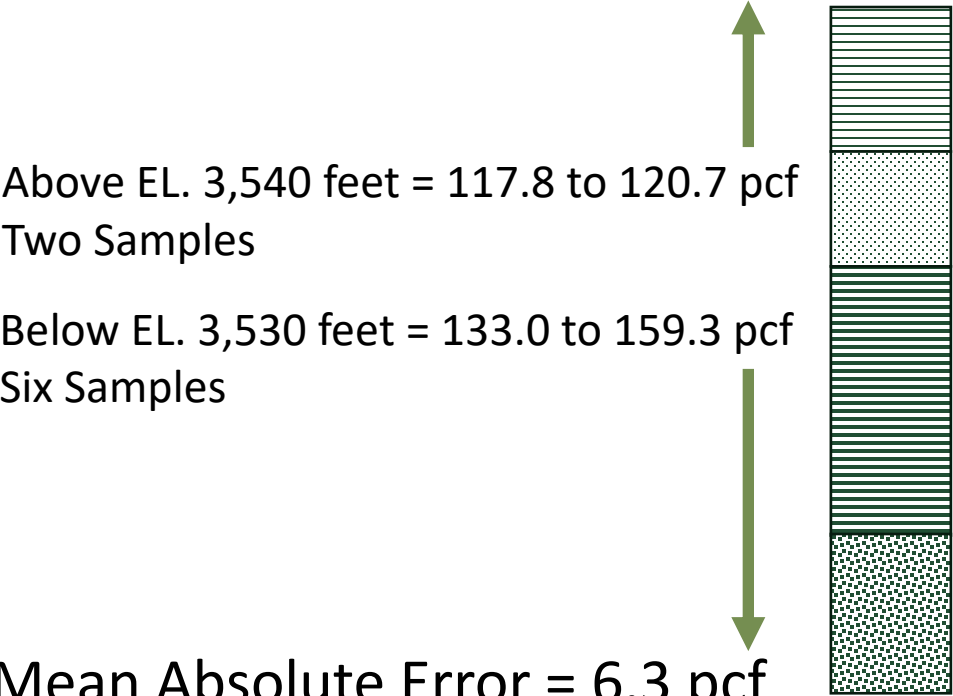


- Visualize the outputs using regression plots
- Plot showing 100% of the data on one plot



# Moist Unit Weight Profile

## Moist Unit Weights from Lab Testing Pounds per Cubic Foot (pcf)



# Conclusions and Future Research

- A potential method to provide geotechnical and civil/environmental engineers with subsurface profiles of geotechnical parameters
- Continue to improve machine learning models for predicting geotechnical parameters in areas without access to drill rigs.
  - Try an unsupervised approach to develop better lithology classes.
- Obtain geotechnical shear strength parameters (friction angle and cohesion) and try to predict them.
  - Have already attempted to predict static Young's modulus, initial void ratio, natural moisture content, preconsolidation pressures, compression index, and unconfined compressive strength.
- Of course, collect more data in different geological settings.

